# **Complaints Policy**



Approved by: Full Governing Body

Last reviewed on: Jan 2024

Next review due by: Jan 2025

#### **MISSION**

We provide a safe and inclusive learning environment where everyone is valued and encouraged to reach their full potential.

#### **RATIONALE**

Under Section 29 of the <u>Education Act 2002</u>, Governing Bodies of all maintained schools in England have been required to have in place a procedure to deal with complaints relating to the school. The law also requires the procedure to be publicised.

There is a difference between a concern and a complaint; taking informal concerns seriously at the earliest stage will reduce the number that develop into formal complaints. The formal procedures will need to be invoked only when initial attempts to resolve the issue are unsuccessful and the person raising the concern remains dissatisfied and wishes to take the matter further.

#### **AIMS**

We will endeavour to be fair, open and honest when dealing with any complaint and will always put the interests of our children above all else. We will give careful consideration to all complaints and we aim to resolve any complaint through dialogue and mutual understanding.

Our complaints procedure will:

- encourage resolution of problems by informal means wherever possible;
- be easily accessible and publicised;
- be simple to understand and use;
- be impartial and non-adversarial;
- allow swift handling with established time-limits for action and keeping people informed of the progress;
- ensure a full and fair investigation by an independent person where necessary;
- respect confidentiality;
- address all the points at issue and provide an effective response and appropriate redress;
- provide information to the school's senior management team and governors so that services can be improved.

#### **GUIDELINES**

For a general complaint:

Step 1: If a parent is concerned about anything to do with the education or support that we are providing at our school, they should, in the first instance, discuss the matter with their child's class teacher; most matters of concern can be resolved positively in this way. All teachers work very hard to ensure that each child is happy at school, and is making good progress; they naturally want to know if there is a problem, so that they can take action before it seriously affects the child's progress. If a parent feels that they are unable to talk to the class teacher they should address their complaint with either the Pastoral Lead (Mrs Reid), Key Stage Leads (KS1 Mrs Winterson KS1 Mrs Millington) or Deputy Headteacher (Mrs Benson).

Step 2 If only spoken with the class teacher, the next step would be to address their complaint with either the Pastoral Lead(Mrs Reid), or Deputy Headteacher (Mrs Benson).

Step 3 Where a parent feels that a situation has not been resolved through contact with the class teacher or the above members of staff or that their concern is of a sufficiently serious nature, they should make an appointment to discuss it with the head teacher. The head teacher will consider all matters of concern very seriously and investigate each case thoroughly. Most complaints would normally be resolved at this stage.

Step 4 Only when an informal complaint fails to be resolved by the head teacher should a formal complaint be made to the governing body. This complaint must be made in writing, stating the nature of the complaint, who has been spoken to already and the preferred outcome. The parent should send this written complaint to the chair of governors via the school office.

For a complaint about the head teacher:

Step 1 If a parent is concerned about anything to do with the behaviour, leadership or management of the head teacher, they should, in the first instance, discuss the matter with the head teacher; most matters of concern can be resolved positively in this way.

Step 2 Where a parent feels that a situation has not been resolved through contact with the head teacher, or that their concern is of a sufficiently serious nature, they should make an appointment to discuss it with the chair of the governing body. The chair will consider all matters of concern very seriously and investigate each case thoroughly. Most complaints would normally be resolved at this stage.

Step 3 Only when an informal complaint fails to be resolved by the chair should a formal complaint be made to the governing body. This complaint must be made in writing, stating the nature of the complaint, who has been spoken to already and the preferred outcome. The parent should send this written complaint to the governing body via the clerk to the governors (Miss F Jones via the school office, sbm@suttongreenpri.cheshire.sch.uk).

For a complaint from Parents of children with SEND about support provided by the school:

Parents / Carers who are not happy with their child's assessment may appeal through the LEA to a SEND tribunal. They are entitled to have with them in any

discussion with the LEA a person who will help them express their views and offer them support. All SEND concern should be taken up in the first place with the SENDCo (Mrs Benson) who will liaise with the Headteacher.

Parent and young people have two months to register a SEND appeal with the tribunal, from the date the Local Authority sent the notice containing a decision which can be appealed or one month from the date of a certificate which has been issued following mediation or the parent or young person being given mediation information, whichever is the latest. In some cases parents and young people will not register the appeal within the two month limit. Where it is fair and just to do so the tribunal has the power to use its discretion to accept appeals outside of the two month time limit.

PLEASE NOTE LA FLOW CHARTS ATTACHED TO THIS POLICY THAT SHOW SUMMARY OF DEALING WITH COMPLAINTS, INCLUDING THOSE AGAINST THE HT

#### Governing body complaints committee

The governing body must consider all written complaints within 21 school working days of receipt.

The chair of governors will nominate a governor to coordinate the procedure and will appoint a complaints panel consisting of 3 governors who are not employees of the school. The nominated coordinator will chair the complaints panel.

The co-ordinator will arrange a meeting of the complaints panel to discuss the complaint and will invite the person making it to attend the meeting so that they can explain the complaint in more detail. The school will give the complainant at least five days' notice of the meeting. If the complainant cannot attend the suggested date, a further date will be set. If the complainant does not attend the second date, a third and final date will be set, at which time the meeting will proceed without the complainant present.

The head teacher will write a report addressing the complaint and ensure that the complaints panel members and the complainant receive a copy 4 days before the meeting. (If it is a complaint about the head teacher, the Chair of Governors will write the report).

The complainant is invited to write a report addressing the issue and must ensure that the complaints panel members and the head teacher (or Chair of Governors) receives a copy 2 days before the meeting. Other written evidence will be not be accepted at the meeting, except in exceptional circumstances.

### Check list for a panel hearing

The panel must take the following points into account:

- the hearing is as informal as possible;
- after introductions, the complainant is invited to explain their complaint (with the support of parent partnership or other advocate if required);
- the panel members and head teacher (or Chair of Governors) may ask questions;
- the head teacher (or Chair of Governors) is then invited to explain the school's actions (with support of Assistant/Deputy HT, SENCo or other supporter if required);
- the panel members or complainant may ask questions;;
- the complainant is then invited to sum up their complaint;
- the head teacher (or Chair of Governors) is then invited to sum up the school's actions and response to the complaint;
- the chair of the panel explains that both parties will hear from the panel within 3 working days;
- both parties leave the meeting while the panel decides on the issues.

When the panel has fully investigated the complaint, the chair of the panel, on behalf of the governing body, will write to the complainant confirming the outcome of the complaint and any agreed action to be taken. The panel can:

- dismiss the complaint in whole or in part;
- uphold the complaint in whole or in part;
- decide on appropriate action to be taken to resolve the complaint;
- recommend changes to the school's systems or procedures to ensure that problems of a similar nature do not recur.

#### **Investigating Complaints**

At each stage, the person investigating the complaint should make sure that they:

- establish what has happened so far, and who has been involved;
- clarify the nature of the complaint and what remains unresolved;
- meet with the complainant or contact them;
- clarify what the complainant feels would put things right;
- interview those involved in the matter and/or those complained of, allowing them to be accompanied if they wish;
- conduct the interview with an open mind and be prepared to persist in the questioning;
- keep notes of the interview.

#### **Resolving Complaints**

At each stage in the procedure we will look for a way in which a complaint can be resolved. It might be sufficient to acknowledge that the complaint is valid in whole or in part. In addition, it may be appropriate to offer one or more of the following:

- an apology;
- an explanation;
- an admission that the situation could have been handled differently or better;
- an assurance that the event complained of will not recur;
- an explanation of the steps that have been taken to ensure that it will not happen again;
- an undertaking to review school policies in light of the complaint.

It should be noted that an admission that the school could have handled the situation better is not the same as an admission of negligence.

#### **Unresolved complaints**

From 1 August 2012 complaints about maintained schools not resolved by the school that would have been considered by the Local Government Ombudsman or the LA should be addressed to the Secretary of State for Education.

Further information can be obtained by calling the National Helpline on 0370 000 2288 or going online at: www.education.gov.uk/help/contactus or by writing to: Department for Education, School Complaints Unit, 2nd Floor, Piccadilly Gate, Store Street ,Manchester, M1 2WD

SUTTON GREEN (ANNEX D)
Please complete and return to HT who will acknowledge receipt and explain what action will be taken.

CV.
Your name:
Pupil's name:
Your relationship to the pupil:
• • •
Address:
Postcode:
Day time telephone
number: Evening telephone
number:
Places give details of your complaint
Please give details of your complaint.
What action, if any, have you already taken to try and resolve your
complaint.
(Who did you speak to and what was the response)?

What actions do you feel might resolve the problem at this stage?
Are you attaching any paperwork? If so, please give details.
Signature:
Date:
Date.
Official use
Official use
Date acknowledgement
sent: By who:
Complaint referred
to:
Date:

## Parental Complaint/Concern – INFORMAL SUTTON GREEN PRIMARY SCHOOL

This form is for use regarding a parent/carer who has come back to you regarding a concern, or an issue that you feel may lead to them wishing to take it further by speaking to the HT. It is a useful record of WHAT STAFF MEMBERS HAVE DONE to seek to resolve in an INFORMAL WAY. It may also be used to log any notes of conversations with parents raising a concern.

Date Seen by

Class		Year			
		group			
		<u> </u>			
Nature of concern – logged by					
Agreed actions discussed with parent/carer					
Signature					
Follow up by class					
teacher Name	>				
Date					
Actions taken					
Actions taken					
signature					
Resolved/Additional actions					

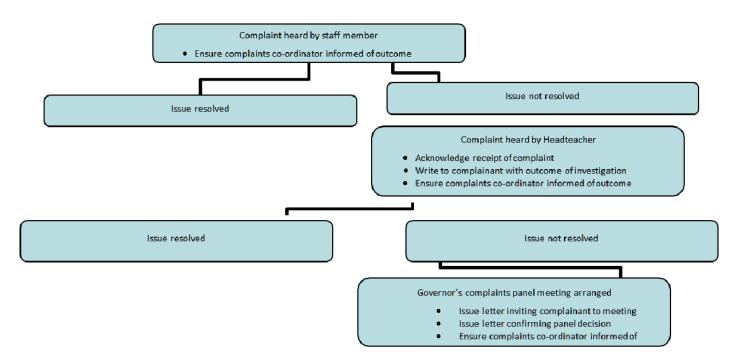
**COPY GIVEN TO HT ON:** 

Name of Parent

Name of Child

#### Annex C - Flowcharts

#### COMPLAINTS



#### **COMPLAINT AGAINST HEADTEACHER**

